

Triangulated persistence category (TPC) in symplectic geometry

Jun Zhang

(based on joint work with P. Biran and O. Cornea)

University of Science and Technology of China
- Institute of Geometry and Physics

August 7, 2022

- Roughly speaking, Kontsevich's homological mirror symmetry (HMS) (1994) claims that

$$DFuk(X) \simeq DCoh(X^\vee)$$

where X is a symplectic manifold and X^\vee is its mirror.

- The A-side $DFuk(X)$ and the B-side $DCoh(X^\vee)$ share some common algebraic structures, for instance, the triangulated structure (cones, distinguished triangles, etc.)
- Recent work by Biran-Cornea (2014) reinterprets the triangulated structure on $DFuk(X)$ in terms of their Lagrangian cobordism theory. In particular, one can define the cone decomposition (iterated cones) and count the number of triangles in this decomposition.
- Recent work by Dimitrov-Haiden-Katzarkov-Kontsevich (2014) defines a complexity in $DCoh(X^\vee)$ when it is split-generated. Also Orlov has some work on the dimension of $DCoh(\cdot)$ (2008).

Motivation (cont.)

- However, the A-side $DFuk(X)$ seems having richer structures than the B-side $DCoh(X^\vee)$. For instance, it can be studied from a quantitative perspective, since the building block

Lagrangian Floer complex $CF(L, L')$ is a **filtered** vector space

where the filtration comes from the symplectic action functional (defined on some path space).

- Quantitative measurements on $CF(L, L')$ include spectral invariants (Oh, Viterbo), torsion exponents (FOOO), boundary depth (Usher), barcode (Usher-Z.), partially symplectic quasi-state (Polterovich-Entov) etc.
- Recent work by Biran-Cornea-Shelukhin (2021) defines the concept “shadow”, a positive real number, for each cone decomposition when we study $DFuk(X)$ from the perspective of Lagrangian cobordism theory.

Inspired by Biran-Cornea-Shelukhin's work (2021), one can combine the filtration structure and triangulated structure in some way, so that one can easily extract numerical data from $DFuk(X)$. One approach is called

triangulated persistence category (TPC)

which is, more precisely,

a **persistence** category + triangulated structure.

Remark

The word “persistence” is from persistent homology or persistence module, a theoretical foundation of the newly-developed field - topological data analysis (TDA). Background on TDA is *not* required for the rest of this talk.

Definition (Biran-Cornea-Z. 2021)

A **persistence category** (PC) \mathcal{C} is a (classical) category enriched by persistence modules. Explicitly, if for any $A, B \in \text{Ob}(\mathcal{C})$, there exists a functor $E_{A,B} : (\mathbb{R}, \leq) \rightarrow \text{Vect}_{\mathbf{k}}$ satisfying

- (i) the hom-set in \mathcal{C} is $\text{Hom}_{\mathcal{C}}(A, B) = \{(f, r) \mid f \in E_{A,B}(r)\}$. For later use, denote $\text{Mor}^r(A, B) := E_{A,B}(r)$;
- (ii) for $r \leq r'$ and $s \leq s'$, we have the following commutative diagram

$$\begin{array}{ccc} \text{Mor}^r(A, B) \times \text{Mor}^s(B, C) & \xrightarrow{\circ_{(r,s)}} & \text{Mor}^{r+s}(A, C) \\ \downarrow E_{A,B}(i_{r,r'}) \times E_{B,C}(i_{s,s'}) & & \downarrow E_{A,C}(i_{r+s,r'+s'}) \\ \text{Mor}^{r'}(A, B) \times \text{Mor}^{s'}(B, C) & \xrightarrow{\circ_{(r',s')}} & \text{Mor}^{r'+s'}(A, C) \end{array}$$

The functor $E_{A,B}$ is called a persistence \mathbf{k} -module and $i_{r,s} = E_{A,B}(i_{r,s})$ for $r \leq s$ is called a structure map.

Persistence category (cont.)

- Observe that a PC \mathcal{C} is not necessarily additive, that is, $\text{Hom}_{\mathcal{C}}$ is not always abelian. Here are two ways that help us transfer back to abelian categories.

(a) Denote by \mathcal{C}_0 the restriction category with $\text{Hom}_{\mathcal{C}_0} = \text{Mor}^0$.

(b) Denote by \mathcal{C}_{∞} the limit category with $\text{Hom}_{\mathcal{C}_{\infty}} = \varinjlim_r \text{Mor}^r$.

- One can define persistence functors between two PCs, persistence natural transformations, etc. There will be no surprise, only conventions!

- An important but auxiliary data for a PC \mathcal{C} is a **shift functor** $\Sigma = (\{\Sigma^r\}_{r \in \mathbb{R}}, \{\theta_{s,t}\}_{s,t})$, an \mathbb{R} -parametrized family of persistence functors on \mathcal{C} with persistence natural transformations satisfying certain conditions. For instance, evaluated at any object $A \in \text{Ob}(\mathcal{C})$,

$$\theta_{r,0}(A) \in \text{Mor}^{-r}(\Sigma^r A, \Sigma^0 A) = \text{Mor}^{-r}(\Sigma^r A, A).$$

When $r \geq 0$, map $i_{-r,0}(\theta_{r,0}(A)) \in \text{Mor}^0(\Sigma^r A, A)$ is important.

Acyclic objects

- In a PC with a shift functor, different from the classical category, elements can be considered **up to shift/approximation**. One views $i_{-r,0}(\theta_{r,0}(A))$ as an r -approximation of the identity map e_A .

Definition

An object $A \in \text{Ob}(\mathcal{C})$ is called r -**acyclic** if $i_{-r,0}(\theta_{r,0}(A)) = 0$.

Recall that an object A is 0 in any category if and only if $e_A = 0$.

- The object A is r -acyclic if and only if $i_{r,0}(e_A) = 0$ (Exercise).
- There are various equivalent names for acyclic objects (depending on the context) such as torsion element, homological non-essential element, etc. The most successful applications of the persistence module theory to symplectic geometry in recent years almost all come from the study of acyclic objects (*very biased opinion!*)

Adding the triangulated structure to a PC (with a shift functor) will lead to something interesting. Let us highlight three concepts that can be derived from a classical triangulated structure.

(1) **Cone decomposition.** Fix a subset of objects $\mathcal{X} := (X_0, X_1, \dots, X_n)$. A cone decomposition of any object A with respect to \mathcal{X} consists of a family of triangles $(\Delta_1, \dots, \Delta_n)$ in \mathcal{C} as follows,

$$\left\{ \begin{array}{lcl} \Delta_1 : & X_0 \rightarrow Y_1 \rightarrow X_1 \rightarrow TX_0 \\ \Delta_2 : & Y_1 \rightarrow Y_2 \rightarrow X_2 \rightarrow TY_1 \\ & \vdots \\ \Delta_{n-1} : & Y_{n-2} \rightarrow Y_{n-1} \rightarrow X_{n-1} \rightarrow TY_{n-2} \\ \Delta_n : & Y_{n-1} \rightarrow A \rightarrow X_n \rightarrow TY_{n-1}. \end{array} \right.$$

Cone decomposition can be concatenated (or called refinement).

Triangulated category (cont.)

(2) **Complexity.** Suppose \mathcal{C} is split-generated by a single object E . Consider, in a cone decomposition as above,

$$X_i = T^{m_i} E \quad \text{for some } m_i \in \mathbb{Z}.$$

Define (due to DHKK 2014), for $A \in \text{Ob}(\mathcal{C})$,

$$\delta_t(E, A) = \inf \left\{ \sum_{i=1}^n e^{-m_i t} \left| \begin{array}{l} \text{the cone decomposition of } A \\ \text{with respect to translations of } E \end{array} \right. \right\}.$$

(3) **Grothendieck group.** Let \mathcal{C} be a triangulated category, its K -group is defined, as an abelian group, by

$$K(\mathcal{C}) = \left\langle A \in \text{Ob}(\mathcal{C}) \left| \begin{array}{l} A = B + C \text{ if and only if} \\ \exists B \rightarrow A \rightarrow C \rightarrow TB \text{ in } \mathcal{C} \end{array} \right. \right\rangle.$$

One should view $K(\mathcal{C})$ as a linear approximation of \mathcal{C} .

Main results

Theorem (Biran-Cornea-Z. 2021)

Let (X, ω) be an exact symplectic manifold. Then the derived Fukaya category $DFuk(X)$ is a TPC.

For simplicity, consider dg-categories. Recall the following steps that produce a triangulated structure (by Bondal-Kapranov 1990):

dg-category \rightsquigarrow pre-triangulated completion \rightsquigarrow homotopy category.

We can enhance everything to be filtered:

filtered dg-category \rightsquigarrow filtered pre-triangulated completion \rightsquigarrow filtered homotopy category .

In particular, the hom-set of a filtered dg-category is a **filtered chain complex**. The resulting filtered homotopy category is a TPC (Biran-Cornea-Z. 2021).

Theorem (Biran-Cornea-Z. 2021)

For any TPC \mathcal{C} , we can define a non-trivial pseudo-metric called fragmentation pseudo-metric d_{frag} , **with values in $\mathbb{R}_{\geq 0}$** , on $\text{Ob}(\mathcal{C})$.

- This d_{frag} is a purely algebraic generalization of the “fragmentation shadow metric” defined by Biran-Cornea-Shelukhin (2021) via Lagrangian cobordism theory.
- This d_{frag} is defined via a quantity in $\mathbb{R}_{\geq 0}$ associated to any cone decomposition and the difficulty is to show this quantity behaves in a sub-additivity way with respect to the concatenation/refinement.
- In terms of d_{frag} , one can study the dynamical property of endomorphisms F on \mathcal{C} . For instance, for any “generator” A of \mathcal{C} , consider $\lim_{n \rightarrow \infty} \frac{d_{\text{frag}}(F^n A, A)}{n}$.
- The estimation of d_{frag} is possible but computing the exact value of d_{frag} is almost never possible (even when \mathcal{C} is very concrete).

Main results (cont.)

- Denote by $FK_{\mathbf{k}}$ the category of filtered chain complexes over \mathbf{k} . Its filtered homotopy category, denoted by $H_0(FK_{\mathbf{k}})$, is a TPC.
- A result by Usher-Z. (2016) provides a complete classification of objects in $H_0(FK_{\mathbf{k}})$. Explicitly,

$$X = \bigoplus_{a \in \mathbb{R}, m \in \mathbb{Z}} E_1(a)[m] \oplus \bigoplus_{b \leq c \in \mathbb{R}, m' \in \mathbb{Z}} E_2(b, c)[m']$$

where $E_1(a) = (\cdots \rightarrow \langle x \rangle \rightarrow \cdots)$ and $E_2(b, c) = (\cdots \rightarrow \langle z \rangle \xrightarrow{\partial} \langle y \rangle_{\mathbf{k}} \rightarrow \cdots)$ where filtrations of x, y, z are a, b, c (spectrum) respectively.

Theorem (Biran-Cornea-Z. 2022)

In the TPC $H_0(FK_{\mathbf{k}})$, the (modified) DHKK-complexity is

$$\delta_t(E_1(0), X) = \sum_{\deg k} \sum_i (e^{-a_{k_i} t} - e^{-b_{k_i} t}) = \text{magnitude of } H_*(X).$$

Here, (persistence) magnitude is from Govc-Hepworth (2021).

Main results (cont.)

Theorem (Biran-Cornea-Z. 2022)

For any TPC \mathcal{C} , there exists a pairing, a bilinear (but not necessarily symmetry) map

$$\kappa : K(\mathcal{C}) \otimes K(\mathcal{C}) \rightarrow \Lambda_{\mathbb{P}}$$

where $K(\mathcal{C})$ is the K -group of the restriction category \mathcal{C}_0 and $\Lambda_{\mathbb{P}}$ is the Novikov polynomial ring $\{\sum_{finite} n_r t^r \mid n_r \in \mathbb{Z}, r \in \mathbb{R}\}$.

This pairing κ is a generalization of the classical Euler pairing, but the target $\Lambda_{\mathbb{P}}$ is novel (and somewhat mysterious).

Corollary

*Let X be a plumbing of two copies of T^*S^1 . Then there exists $M \in K(D\text{Fuk}(X))$, as a K -class, that can not be represented by any embedded closed Lagrangian of X .*

Main results (cont.)

- Basic fact: for a TPC \mathcal{C} , the restriction of \mathcal{C} on acyclic objects is also a TPC, denoted by $\mathcal{A}\mathcal{C}$.
- For any TPC \mathcal{C} , the K -group $K(\mathcal{C})$ can always be viewed as a Λ_p -module, explicitly by $t^r \cdot [A] := [\Sigma^r A]$ where Σ^r is the shift functor from the underlying PC structure of \mathcal{C} .
- Denote by $\mathcal{T}PC$ the category of TPCs and \mathcal{M}_{Λ_p} the category of Λ_p -modules. One can consider the following three functors from $\mathcal{T}PC$ to \mathcal{M}_{Λ_p} :

$$K(\mathcal{C}) := K(\mathcal{C}_0), \quad K\mathcal{A}(\mathcal{C}) := K(\mathcal{A}\mathcal{C}_0), \quad K_\infty(\mathcal{C}) := K(\mathcal{C}_\infty).$$

Theorem (Biran-Cornea-Z. 2022)

There exists a functor $\mathrm{Tor}K : \mathcal{T}PC \rightarrow \mathcal{M}_{\Lambda_p}$ such that the following sequence of functors is exact,

$$0 \rightarrow \mathrm{Tor}K \rightarrow K\mathcal{A} \rightarrow K \rightarrow K_\infty \rightarrow 0.$$

Definition

A **triangulated persistence category** (TPC) is a triple (\mathcal{C}, Σ, T) satisfying the following conditions.

- (i) \mathcal{C} is a persistence category endowed with a shift functor Σ .
- (ii) \mathcal{C}_0 is triangulated where T is the translation autoequivalence.
- (iii) Functors Σ and T commute.
- (iv) For $r \geq 0$ and $A \in \text{Ob}(\mathcal{C})$, the map $i_{-r,0}(\theta_{r,0}(A)) : \Sigma^r A \rightarrow A$ completes into a triangle in \mathcal{C}_0 as follows,

$$\Sigma^r A \xrightarrow{i_{-r,0}(\theta_{r,0}(A))} A \rightarrow K \rightarrow T\Sigma^r A$$

such that K is r -acyclic.

One should view (iv) as an r -approximation of the standard axiom $A \xrightarrow{e_A} A \rightarrow 0 \rightarrow TA$.

- In a TPC, one can define a weighted triangle in the form of

$$A \rightarrow B \rightarrow C \rightarrow \Sigma^{-r} TA.$$

This is a new family of triangles in \mathcal{C}_0 and it is “ r -closed” to a standard triangle. From this, one can derive weighted octahedral axiom.

- One can compute $K(H_0(FK_k))$. At present, this is the only case that we can give a precise answer (Biran-Cornea-Z. 2022).

$$\lambda : K(H_0(FK_k^{\text{f.g.}})) \simeq \Lambda_P$$

where f.g. means every filtered chain complex is in total of finite rank, and the isomorphism λ is an isomorphism of **rings**.

- The isomorphism λ above can be described explicitly by $\lambda : [E_1(a)] \rightarrow t^a$ (hence, $[E_2(b, c)] = t^b - t^c$).

Keys to proofs (cont.)

- The pairing κ can be constructed by the following recipe (first on the level of $\text{Ob}(\mathcal{C})$). For any $A, B \in \text{Ob}(\mathcal{C})$, consider

$$A, B \rightsquigarrow \text{Hom}_{\mathcal{C}}(A, T^i B) \rightsquigarrow X_{A, T^i B} \in \text{Ob}(FK_{\mathbf{k}}) \rightsquigarrow \lambda(X_{A, T^i B}),$$

then define

$$\kappa([A], [B]) := \sum_i (-1)^i \lambda(X_{A, T^i B}) \in \Lambda_{\mathbf{p}}.$$

The difficulty is to show κ is well-defined on the level of K -group.

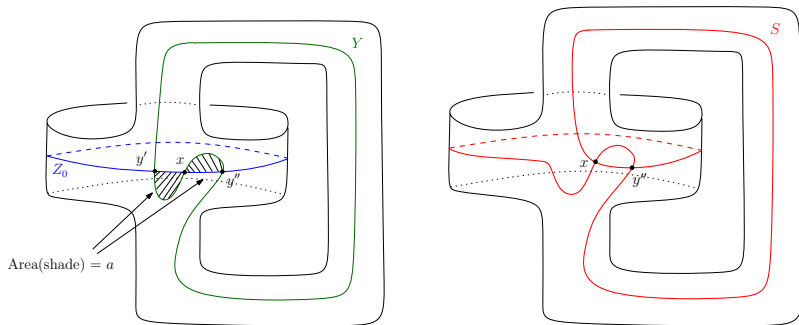
- When \mathcal{C} satisfies certain duality (say, Calabi-Yau duality), then for any $a, b \in K(\mathcal{C})$, one obtains the following skew-symmetry,

$$\kappa(a, b)(t) = \pm \kappa(b, a)(t^{-1}).$$

This implies that in $DFuk(X)$, we have $\kappa([L], [L]) = \chi(L)$, which is in particular a constant!

Keys to proofs (cont.)

- Here is the explicit picture for Corollary above.



where the immersed Lagrangian S is constructed by doing a surgery at the intersection point y' . Denote by the M_S in $DFuk(X)$ the module represented by S , then one computes that

$$\kappa([M_S], [M_S]) = t^{-a} - t^a.$$

K -theoretical measurements

The quantitative nature of a TPC \mathcal{C} allows one to study its K -group $K(\mathcal{C})$ from a quantitative perspective.

- When $\mathcal{C} = H_0(FK_k)$, we start from the following observation (Biran-Cornea-Z. 2022),

$$\theta : \Lambda_p \simeq LC_B$$

where $LC_B = \{ \sum_{\text{finite}} n_I 1_I \mid I \subset \mathbb{R} \text{ interval}, n_I \in \mathbb{Z} \}$ and 1_I is the indicator of I . Importantly θ , defined by $t^a \mapsto 1_{[a, \infty)}$, is an isomorphism of rings, **but the ring structure on LC_B is peculiar**. Then one can apply various operations on functions to elements σ in LC_B . For instance,

$$\sigma \mapsto \int_{\mathbb{R}} (\sigma - \sigma(\infty) 1_{[0, \infty)}) d\mu.$$

This is called the length of σ and $\sigma(\infty)$ is called the Euler characteristic of σ .

K -theoretical measurements (cont.)

- For a general TPC \mathcal{C} where $\text{Ob}(\mathcal{C})$ is endowed with a pseudo-metric $d_{\mathcal{C}}$, one can define

$$d_{K(\mathcal{C})}(a, b) := \inf \{ d_{\mathcal{C}}(A, B) \mid [A] = a, [B] = b \}.$$

So far, this approach is embarrassing since for most examples that we know, for instance d_{frag} , the induced pseudo-metric $d_{K(\mathcal{C})}$ is trivial.

- The deep reason is that when passing to the K -group, one can *not* distinguish “small torsion” with “big torsion”. Here is an example. Again, for $\mathcal{C} = H_0(FK_{\mathbf{k}})$, we have

$$[E_2(0, 100)] = [E_2(0, 1)] + \cdots + [E_2(99, 100)]$$

in its K -group.

- One can use filtration shifts of autoequivalences of \mathcal{C} to measure the distance between objects in \mathcal{C} and then descent to $K(\mathcal{C})$.

- Categorical dynamics on $K(\mathcal{C})$ (TPC + DHKK 2014).
- Quantitative refinement of homological mirror symmetry (??)
- Filtered derived functors/derived category (part of these has been written up)
- Other algebraic structures that combine the filtration structure and the triangulated structure (PTC?).

THANK YOU!